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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CHAOXIN C. QIU ET AL.

Filed: OCTOBER 3, 2001

For: SYSTEMS AND METHODS FOR RESOURCE
MANAGEMENT IN INFORMATION STORAGE
ENVIRONMENTS

Serial No.: 09/970,457

Group Art Unit: 2151

Examiner: UNKNOWN

Atty Docket No.: SURG:160

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SEP 23 2002
Technology Center 2100

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8-21-02 Mary Bow
Date Name

Assistant Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original.

In the Domestic Priority Data, please make the following corrections:

After "THIS APPLICATION 09/970,457, please insert:

-- IS A CIP OF 09/797,198 03/01/2001--

After "AND CLAIMS BENEFIT OF 60/246,445 11/07/2000, please insert:

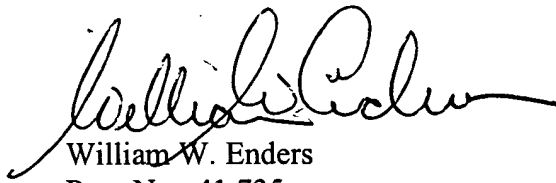
--AND CLAIMS BENEFIT OF 60/246,359 11/07/2000--

A copy of the original filing receipt is attached, with the requested change(s) indicated in red.

No fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to this document, the commissioner is hereby authorized to deduct said fee from Deposit Account 10-1205/SURG:160.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William W. Enders", written in a cursive style.

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/970,457	10/03/2001	2151	732	SURG:160	8	53	3

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CONFIRMATION NO. 3305

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OC000000008619309

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703 -746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Umesh Gupta, Austin, TX;
Scott C. Johnson, Round Rock, TX;
Sarma Kolavasi, Austin, TX;
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Assignment For Published Patent Application

SURGIENT NETWORKS, INC.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/947,869 09/06/2001
WHICH IS A CIP OF 09/879,810 06/12/2001
WHICH CLAIMS BENEFIT OF 60/285,211 04/20/2001
AND CLAIMS BENEFIT OF 60/291,073 05/15/2001
THIS APPLICATION 09/970,457 → 09/797,198
IS A CIP OF 09/797,198 03/01/2001
AND IS A CIP OF 09/797,201 03/01/2001
AND CLAIMS BENEFIT OF 60/246,445 11/07/2000
AND IS A CIP OF 09/797,200 03/01/2001
WHICH CLAIMS BENEFIT OF 60/187,211 03/03/2000
THIS APPLICATION 09/970,457
CLAIMS BENEFIT OF 60/246,401 11/07/2000

AND Claims Benefit
OF 60/246,359 11/07/2000

Foreign Applications

If Required, Foreign Filing License Granted 11/01/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Systems and methods for resource management in information storage environments

Preliminary Class

137

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 3305

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/970,457	10/03/2001	137	2151	
	RULE			SURG:160

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 Richard W. Yu, Cedar Park, TX;
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**** CONTINUING DATA *******

THIS APPLICATION IS A CON OF 09/947,869 09/06/2001
 WHICH IS A CIP OF 09/879,810 06/12/2001
 WHICH CLAIMS BENEFIT OF 60/285,211 04/20/2001
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 WHICH CLAIMS BENEFIT OF 60/187,211 03/03/2000
 THIS APPLICATION 09/970,457
 CLAIMS BENEFIT OF 60/246,401 11/07/2000

**** FOREIGN APPLICATIONS *******
IF REQUIRED, FOREIGN FILING LICENSE GRANTED SMALL ENTITY ****

** 11/01/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	TX	8	53	3
Verified and Acknowledged	Examiner's Signature	Initials			

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TITLE

Systems and methods for resource management in information storage environments

**FILING FEE
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No. _____ for following:

☐ All Fees

☐ 1.16 Fees (Filing)

☐ 1.17 Fees (Processing Ext. of
time)

☐ 1.18 Fees (Issue)

☐ Other _____

☐ Credit